

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al.                      Art Unit : Unknown  
Serial No. : New Application                              Examiner : Unknown  
Filed : October 16, 2003  
Title : SEMICONDUCTOR APPARATUS AND FABRICATION METHOD OF THE  
SAME

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

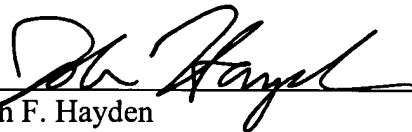
**INFORMATION DISCLOSURE STATEMENT**

Applicants submit the references listed on the attached form PTO-1449.

This statement is being filed with the application. Accordingly, only copies of foreign patent documents and non-patent literature are enclosed. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 16, 2003

  
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|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------|------------------------------------|
| Substitute Form PTO-1449<br>(Modified)<br><br><b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>12732-168001 | Application No.<br>New Application |
|                                                                                                                                                                      | Applicant<br>Shunpei Yamazaki et al.                       |                                       |                                    |
|                                                                                                                                                                      | Filing Date<br>October 16, 2003                            | Group Art Unit<br>Unknown             |                                    |

| U.S. Patent Documents |           |                 |                  |                 |       |          |                            |
|-----------------------|-----------|-----------------|------------------|-----------------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number | Publication Date | Patentee        | Class | Subclass | Filing Date If Appropriate |
|                       | AA        | 5,821,138       | 10/13/1998       | Yamazaki et al. |       |          | 02/16/1996                 |
|                       | AB        | 6,376,333       | 04/23/2002       | Yamazaki et al. |       |          | 02/14/2000                 |
|                       | AC        |                 |                  |                 |       |          |                            |
|                       | AD        |                 |                  |                 |       |          |                            |
|                       | AE        |                 |                  |                 |       |          |                            |
|                       | AF        |                 |                  |                 |       |          |                            |
|                       | AG        |                 |                  |                 |       |          |                            |
|                       | AH        |                 |                  |                 |       |          |                            |
|                       | AI        |                 |                  |                 |       |          |                            |
|                       | AJ        |                 |                  |                 |       |          |                            |
|                       | AK        |                 |                  |                 |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|-------------------------------------------------------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial                                                  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|                                                                   |           |                 |                  |                          |       |          | Yes         | No |
|                                                                   | AL        |                 |                  |                          |       |          |             |    |
|                                                                   | AM        |                 |                  |                          |       |          |             |    |
|                                                                   | AN        |                 |                  |                          |       |          |             |    |
|                                                                   | AO        |                 |                  |                          |       |          |             |    |
|                                                                   | AP        |                 |                  |                          |       |          |             |    |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |          |
|-------------------------------------------------------------------------|-----------|----------|
| Examiner Initial                                                        | Desig. ID | Document |
|                                                                         | AQ        |          |
|                                                                         | AR        |          |
|                                                                         | AS        |          |
|                                                                         | AT        |          |

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|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| Examiner Signature                                                                                                                                                           | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |